

# On Throughput Analysis of an Adaptive Error - Control Scheme in Satellite Networks

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**Abstract:** This paper presents an efficient method for exact throughput analysis of adaptive GBN scheme in error-prone satellite channels with two states. Method is based on using retransmission cycles without limitation on slow time-varying channels and condition that transmission of any frame starts and ends in the same transmitter mode.

**Keywords** –Printed antenna, Monopole antenna, Impedance matching.

## I. INTRODUCTION

Communication satellite have several properties that are radically different from terrestrial point-to-point links. Even though signals to and from satellite travel at speed of light, the large round-trip distance introduces a substantial delay. Further, satellite channels are error-prone. Transmissions errors on satellite lines are caused by a variety of different phenomena. The large round-trip propagation causes increasing transfer error probability.

Various adaptive methods for error control in dynamic conditions of channel operation are developed. An adaptive *go-back-N* (GBN) scheme with two or more operation modes that correspond to channel states is used in time-varying channel. When the probability of errors in the channel is low, adaptive GBN scheme operates as an ordinary single-copy procedure (mode  $L$ ), while in high probability error conditions it operates as a multi-copy procedure (mode  $H$ ). A switching from mode  $L$  to mode  $H$  is performed by counting continuous negative acknowledgements (NAK) for each frame, and the switching from mode  $H$  to mode  $L$  by counting continuous positive acknowledgements (ACK) for each block (a block consists of  $m$ -copies of the frame).

In throughput analysis of adaptive GBN scheme, some authors [1, 2] assumed that the frame transmission occurs in slow time-varying channels; therefore the transmission starts and ends in the same operation mode. They defined a throughput as a sum of multiplied stationary state probabilities of single operation modes and corresponding average throughput values that would be achieved if the system operates only in the given operation mode. Considering the fact that a lifecycle of any frame can start in one operation mode and end in another, it is evident that transitions between states will not occur in uniform time intervals. Therefore, adaptive GBN mechanism can be considered as embedded Markov's chain with two states. Compared to earlier analysis where the transition between states was defined

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only by the transition probability, there is a need to introduce an additional parameter – transition time.

This paper introduces a new approach for the throughput analysis of an adaptive GBN scheme by retransmission cycles. A retransmission cycle is an assembly of events, which originates from the initial state, is followed by transient states caused by transmission errors, and ends with a final state resulting from a successful packet transmission. Formally different, but fundamentally similar definitions of the throughput could be found in the literature. One of them regards to the (normalized) throughput as the ratio of the time needed for frame transmission and the average time duration of retransmission cycle.

The paper is organized as follows. In Section 2, we define retransmission cycles and derive an expression for the normalized throughput of the generalized adaptive two-mode GBN scheme with two groups of states. Section 3 graphically presents theoretical results for selected model parameters. Finally, we conclude with Section 4.

## II. THROUGHPUT OF TWO-MODE GBN SCHEME

The state diagram of the generalized adaptive two-mode GBN scheme (2M-GBN) is shown in Fig. 1. This diagram contains two groups of states that correspond to the two-mode operation at the transmitter [3,4,5]. In  $L$  mode, transmitter employs the ordinary single-copy procedure. After receiving at least  $\alpha$  continuous NAK's, the transmitter switches to the  $H$  mode and employs the multi-copy procedure. The transmitter returns to the  $L$  mode if it receives at least  $\beta$  continuous ACK's.

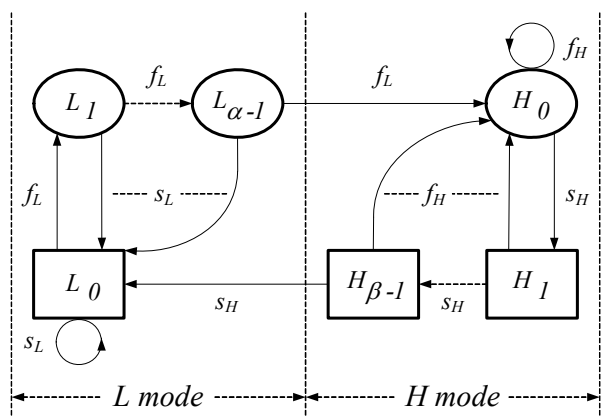


Figure 1. 2M-GBN scheme with two groups of states

States  $L_0, H_1, \dots, H_{\beta-1}$  represent the initial states that occur after successful transmissions, while  $L_1, \dots, L_{\alpha-1}, H_0$  represent the transient states that occur after erroneous

transmissions. Transitions between states are characterized by transition probability and transition time. Transitions that lead to initial states after successful transmission are characterized with the following pairs:  $s_L = [1 - p_L; k_L \cdot T_F]$  and  $s_H = [1 - p_H; k_H \cdot T_F]$ , while transitions that lead to transient states are characterized with  $f_L = [p_L; m_L \cdot T_F]$  and  $f_H = [p_H; m_H \cdot T_F]$ . Transition error probabilities from states  $L_i$  ( $i = 0, 1, 2, \dots, \alpha - 1$ ) and  $H_i$  ( $i = 0, 1, 2, \dots, \beta - 1$ ) are denoted with  $p_L = p_F^{k_L}$  and  $p_H = p_F^{k_H}$  respectively, where  $p_F$  represents a probability of an erroneous frame transmission (frame error probability), and  $k_L$  and  $k_H$  number of frame copies in states  $L_i$  and  $H_i$ . Transition times to initial states are  $k_L \cdot T_F$  and  $k_H \cdot T_F$ , and transition times to transient states are  $m_L \cdot T_F = (k_L + N - 1) \cdot T_F$  and  $m_H \cdot T_F = (k_H + N - 1) \cdot T_F$ , where  $N$  represents *normalized round trip delay*, and  $T_F$  time duration of the frame transmission.

### A. States Probabilities in Stationary Mode

According to the state diagram presented in Figure 1, after some math, we get stationary probabilities of single states:

$$P(L_i) = p_L^i \cdot \left[ \frac{1 - p_L^\alpha}{q_L} + \frac{p_L^\alpha}{q_H} \cdot \frac{1 - q_H^\beta}{p_H} \right]^{-1}, \quad i = 0, \dots, \alpha - 1 \quad (1)$$

$$P(H_i) = q_H^i \cdot \left[ \frac{1 - q_H^\beta}{p_H} + \frac{q_H^\beta}{p_L} \cdot \frac{1 - p_L^\alpha}{q_L} \right]^{-1}, \quad i = 0, \dots, \beta - 1 \quad (2)$$

where  $q_L = 1 - p_L$  and  $q_H = 1 - p_H$  denote transition successful probabilities.

For further analysis, it is beneficial to introduce the following parameters:

$$LP = \frac{q_H^\beta}{p_L^\alpha} \quad (3)$$

$$HP = \sum_{i=1}^{\beta-1} q_H^i = \sum_{i=0}^{\beta-1} q_H^i - 1 = \frac{1 - q_H^\beta}{p_H} - 1 \quad (4)$$

so that it holds:

$$P(L_0) = LP \cdot P(H_0) \quad (5)$$

$$\sum_{i=1}^{\beta-1} P(H_i) = \sum_{i=1}^{\beta-1} q_H^i \cdot P(H_0) = HP \cdot P(H_0) \quad (6)$$

### B. Average Time Duration of Retransmission Cycles

Based on the state diagram, there are several groups of different retransmission cycles. In this section they will be classified based on the starting initial state and calculation of average time duration of retransmission cycle for single groups.

#### B.1 Retransmission Cycles from State $L_0$

The group of retransmission cycles  $LH$  with starting initial state  $L_0$  is depicted on Figure 2 and it consists of two sub-groups that end in initial states  $L_0$  i  $H_1$ . Sub-group  $LH1$  represents transitions from state  $L_0$  to  $L_0$ , while sub-group  $LH2$ , represents transitions from state  $L_0$  to  $H_1$ .

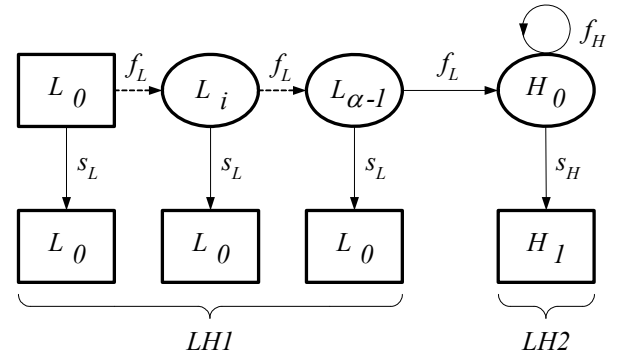


Figure 2. Group of retransmission cycles from starting state  $L_0$

a) retransmission cycles  $L_0 \rightarrow \dots \rightarrow L_i \rightarrow \dots \rightarrow L_0$ ,  $i=0, 1, 2, \dots, \alpha-1$

Average time duration of retransmission cycle for group LH1 is calculated as follows:

$$\begin{aligned} \bar{T}_{LH1} &= \sum_{i=0}^{\alpha-1} (i \cdot m_L + k_L) \cdot T_F \cdot p_L^i \cdot q_L \\ &= \left( \frac{1 - p_L^\alpha}{S_{II}} - \alpha \cdot m_L \cdot p_L^\alpha \right) \cdot T_F \end{aligned} \quad (7)$$

where,

$$S_{II} = \left( k_L + m_L \cdot \frac{p_L}{q_L} \right)^{-1} \quad (8)$$

denotes the throughput of a single-mode GBN (1M-GBN) scheme with states  $L_0$  i  $L_1$

b) retransmission cycles  $L_0 \rightarrow \dots \rightarrow L_{\alpha-1} \rightarrow H_0 \rightarrow H_1$

Average time duration of retransmission cycle for group LH2 is calculated as follows:

$$\begin{aligned}\bar{T}_{LH2} &= \sum_{k=0}^{+\infty} [\alpha \cdot m_L + k \cdot m_H + k_H] \cdot T_F \cdot p_L^\alpha \cdot p_H^k \cdot q_H \\ &= \left( \frac{p_L^\alpha}{S_{hh}} + \alpha \cdot m_L \cdot p_L^\alpha \right) \cdot T_F\end{aligned}\quad (9)$$

where,

$$S_{hh} = \left( k_H + m_H \cdot \frac{p_H}{q_H} \right)^{-1} \quad (10)$$

denotes the throughput of a single-mode GBN (1M-GBN) scheme with states  $H_0$  i  $H_I$ .

Average time duration of retransmission cycles that originate from state  $L_0$  equals to a sum of average time durations of single groups. Combining (7) and (9), we get:

$$\bar{T}_{LH} = \bar{T}_{LH1} + \bar{T}_{LH2} = \left( \frac{1 - p_L^\alpha}{S_{ll}} + \frac{p_L^\alpha}{S_{hh}} \right) \cdot T_F \quad (11)$$

### B.2. Retransmission Cycles from States $H_1, H_2, \dots, H_{\beta-1}$

The group of retransmission cycles  $HH(i)$  with starting initial state  $H_i$  ( $i=1, 2, \dots, \beta-1$ ) is depicted in Figure 3. It consists of one sub-group that ends in initial states  $H_{i+1}$  and  $H_1$ , with the assumption that  $H_\beta \equiv L_0$ . is a true statement.

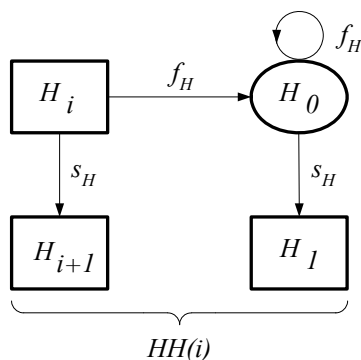


Figure 3. Group of retransmission cycles from state  $H_i$ ,  $i = 1, 2, \dots, \beta-1$

- a) retransmission cycles  $H_i \rightarrow H_{i+1}$  ( $H_\beta \equiv L_0$ ) and  $H_i \rightarrow H_0 \rightarrow H_1$ ,  $i = 1, 2, \dots, \beta-1$

Average time duration of retransmission cycles for group  $HH(i)$  is as follows:

$$\begin{aligned}\bar{T}_{HH(i)} &= \left[ k_H \cdot q_H + \sum_{k=0}^{+\infty} (m_H + k \cdot m_H + k_H) \cdot p_H \cdot p_H^k \cdot q_H \right] \cdot T_F \\ &= \frac{T_F}{S_{hh}}\end{aligned}\quad (12)$$

Considering that the average time durations of retransmission cycles that originate from state  $H_i$  are equal and independent from order state  $i$ , it can be written as:

$$\bar{T}_{HH} = \bar{T}_{HH(i)} = \frac{T_F}{S_{hh}}; \quad i = 1, 2, \dots, \beta-1 \quad (13)$$

### C. Throughput Calculation for 2M-GBN Scheme with Two Groups of States

To calculate the throughput of 2M-GBN scheme with two groups of states, average time duration of all retransmission cycles shall be first determined, considering the frequency of their occurrences. If  $R = \{L_0, H_1, H_2, \dots, H_{\beta-1}\}$  represents a set of all initial states, average time duration of retransmission cycles  $\bar{T}_R$  can be calculated as follows:

$$\bar{T}_R = \bar{T}_{LH} \cdot P(L_0 / R) + \bar{T}_{HH} \cdot \sum_{i=1}^{\beta-1} P(H_i / R) \quad (14)$$

where  $P(X / R)$  denotes conditional probability of occurrence of initial state  $X \in R$ . Since the occurrences of initial states are mutually exclusive events, then:

$$P(X / R) = \frac{P(X)}{P(R)} = \frac{P(X)}{P(L_0) + \sum_{i=1}^{\beta-1} P(H_i)} \quad (15)$$

Having in mind (5) and (6), after substitution in (14) and (15), we get :

$$\bar{T}_R = \frac{LP \cdot \bar{T}_{LH} + HP \cdot \bar{T}_{HH}}{LP + HP} \quad (16)$$

that gives result for throughput of adaptive 2M-GBN scheme with two groups of states:

$$S_R = \frac{T_F}{\bar{T}_R} = \frac{LP + HP}{LP \cdot \bar{T}_{LH} + HP \cdot \bar{T}_{HH}} \cdot T_F \quad (17)$$

By substituting the statement for average time duration of retransmission cycles  $\bar{T}_{LH}$  i  $\bar{T}_{HH}$ , and using (11) and (13), above given throughput calculation can be presented in an alternative form as follows:

$$\frac{1}{S_R} = \left( \frac{1 - p_L^\alpha}{S_{ll}} + \frac{p_L^\alpha}{S_{hh}} \right) \cdot \frac{LP}{LP + HP} + \frac{1}{S_{hh}} \cdot \frac{HP}{LP + HP} \quad (18)$$

### III. NUMERICAL RESULTS

This paper analyzes how parameters  $\alpha$  and  $\beta$  can affect on the throughput of an adaptive GBN model with two groups of states.

Figure 4 depicts the throughput of single-mode i two-mode GBN scheme for single-copy and three-copy mechanism as a function of frame error probability for various values of  $\alpha$  and  $\beta$ . Solid and dotted line present graphs that were created based on theoretical throughput of a single-copy and three-copy single-mode 1M-GBN scheme. Graphs with “o”, “+” and “\*” symbols are get by using the theoretical statement for two-mode GBN mechanism (2M-GBN) for various values of parameters  $\alpha$  and  $\beta$ .

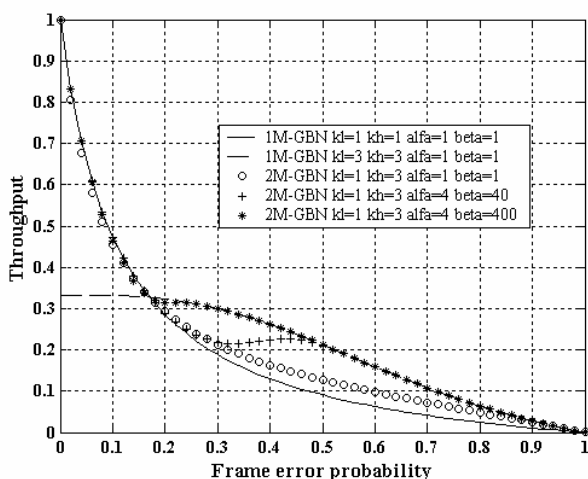


Figure 4. Throughput of single-copy and three-copy 1M-GBN scheme and 2M-GBN scheme for  $N=100$

The values of parameters  $\alpha$  and  $\beta$  were empirically determined in order to achieve as good as possible graph overlapping for a single-copy and three-copy single-mode mechanism and two-mode GBN mechanism. In this analysis  $\alpha=4$  and  $\beta=400$  values were selected.

### IV. CONCLUSION

This paper presents an efficient method for throughput estimate of an adaptive GBN scheme in channels with two states based on retransmission cycles. The recommended method is not limited to slow time-varying channels and the condition that the transmission of any frame starts and ends in the same operation mode. We approved that reciprocal throughput value of adaptive GBN model with two groups of states is equal to a sum of the products of reciprocal throughput of one two-mode and one single-mode GBN model and corresponding conditional probabilities of occurrence of initial states.

Proposed adaptive model is suitable for error-control in satellite networks, due to dynamic condition of transmission data over satellite links.

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